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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**07200.040001**

In Re Application Of: **Hiroyuki SUGIE et al.**

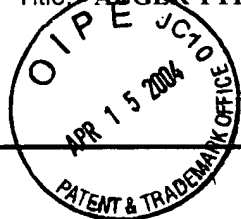
Serial No.  
**10/717,436**

Filing Date  
**11/19/2003**

Examiner

Group Art Unit

Title: **Auger Type Ice-Making Machine**



Address to:

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P.O. Box 1450  
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**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

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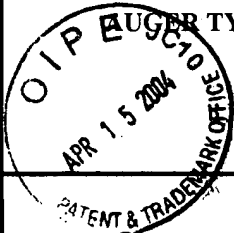
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Group Art Unit

**UGER TYPE ICE-MAKING MACHINE**



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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Dated: **April 13, 2004**

**Jonathan P. Osha, Reg. No. 33,986**  
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**INFORMATION DISCLOSURE CITATION***(Use several sheets if necessary)*

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**U.S. PATENT DOCUMENTS**

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**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

|  |  |         |  |
|--|--|---------|--|
|  |  | ✓<br>C1 | Patent Abstracts of Japan, Publication No. 10-002645, Date of Publication: January 6, 1998, 1 page |
|  |  | ✓<br>C2 | Partial Translation of Japanese Patent Application Laid-Open No. SH059-018363, 2 pages             |

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|  |  |    |  |
|--|--|----|--|
|  |  | D1 | Patent Abstracts of Japan, Publication No.: 2000-171135, Date of Publication: June 23, 2000, 1 page  |
|  |  | D2 | Patent Abstracts of Japan, Publication No.: 2003-121036, Date of Publication: April 23, 2003, 1 page |

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